

parted 17/03/2017



Budget Revision Check List

Initiated by:	
Project title:	<u>Enhancing Adaptive Capacity of Communities to Climate Change-related Floods in the North Coast and Islands Region of PNG</u>
Award ID:	<u>00059799</u>
Project ID:	<u>00074956</u>
Prepared by:	Joycelyn Nagai Muriki

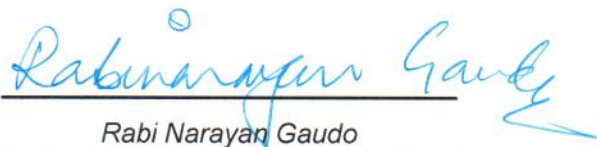
#	Supporting document	Yes/No	Comments (if No)
1	Cover page from Atlas	Yes	
2	Annual Work Plan	Yes	
3	Approved & signed Project Document	Yes	
4	Budget revision in Excel signed by all signatories	Yes	
5	Atlas Annual Work Plan	Yes	
6	Signed donor agreement	N/A	Adaptation Fund - Regional Programme
7	Budget revision check-list	Yes	

Cleared by:



 Steven Paissat
 ARR Operations

Approved by:



 Rabi Narayan Gaudo
 Project Manager – Adaptation Fund



UN Development Programme

Papua New Guinea- Port Moresby

Award ID: 00059799

Award Title: PNG AF 4552: Climate Resilient Communities in PNG

Start Year: 2012

End Year: 2017

Implementing Partner (Executing Agency): National Execution

Budget (US\$) as of Last Revision on 03-May-2017		
Donor	Fund	Amount
UNDP	04000 TRAC (Lines 1.1.1 and 1.1.2)	50,000.00
PNG_One_UN	30000 Programme Cost Sharing	432,600.00
AF	62040 GEF Cost-Sharing Activities	1,346,064.00
Total Budget (2017 and Beyond)		1,828,664.00
Total Expenditure (2016 and Prior)		4,193,033.36
Award Total		0.00
Unprogrammed/Unfunded		-6,021,697.36

Responsible Party

(Implementing Agent): PNG-National Execution

Revision Type: General Revision 2

Brief Description:

2017 Budget Revision to include funding from DFAT towards Development of GCF Proposal

Agreed by: *Rabinarayan Gaudo*
Rabi Narayan Gaudo

Agreed by: *Gwen Maru*
Gwen Maru

Agreed by: Steven Paissat

Agreed by: *SM*

United Nations Development Programme
Country: Papua New Guinea
2017 Revised Annual Work Plan

Project Title: Enhancing Adaptive Capacity of Communities to Climate Change-related Floods in the North Coast and Island Region of PNG (00074956).

UNDAF/ Expected CP Outcome(s): By 2017, Government and civil society have enhanced their capacity to implement biodiversity conservation, low carbon and climate resilient development initiatives for environmental sustainability and improved community livelihoods to reduce the vulnerability of women, girls, men and boys to disaster risks.

Expected Output(s):

Public institutions, private sector and local communities enhance the implementation of low carbon growth and climate resilient development initiatives for environmentally sustainable economic growth.

Implementing Partner: Climate Change and Development Authority (CCDA)

Brief Description

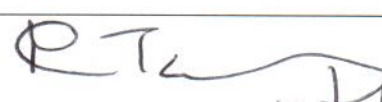
The project comes to a close in December 2017 and will build on the achievements of the previous years and continue towards achieving project targets through the implementation of strategic activities including procurement and installation of equipment for implementation of pilot flood early warning system, establishment of protocols for forecasting, monitoring, and dissemination of warnings, training, advocacy and awareness raising activities on mangrove conservation, development of community and provincial mangrove management plans, mangrove reforestation/ conservation in selected sites, development of provincial climate change adaptation plans, community level risk assessments and implementation of adaptation measures, implementation of capacity building activities on various CCA issues at the national and sub-national levels and strengthening CCA capacity through improved education and awareness. Final evaluation of the project will also be conducted to assess the project performance.

Programme Period:	2012-2017
Key Result Area (Strategic Plan):	Environment, Climate Change and Disaster Risk Management
Atlas Award ID:	00059799
Atlas Project ID:	00074956
Start date:	October 2012
End Date:	October 2017
Management Arrangements:	NIM

Total resources allocated:	USD 1,828,664.00
Total allocated resources:	1,828,664.00
• Regular:	UNDP US\$ 50,000.00
• Other:	
○ Adaptation Fund -	US\$ 1,346,064.00
○ DFAT -	US \$432,600.00
○ Government	_____
Unfunded budget:	_____
In-kind Contributions:	National Government, UNDP

 12/15/17

Agreed by (Government): Mr. Jacob Ekinye – Director (Adaptation and Projects Division), Climate Change and Development Authority


 12/15/2017

Agreed by (UNDP): Roy Trivedy, Resident Representative

I. ANNUAL WORK PLAN

Year: 2017

EXPECTED OUTPUTS <i>And baseline, indicators including annual targets</i>	PLANNED ACTIVITIES <i>List activity results and associated actions</i>	TIMEFRAME				RESPONSIBLE PARTY	PLANNED BUDGET			
		Q1	Q2	Q3	Q4		Funding Source	Account Codes	Budget Description	Amount in USD\$
Output 1: Enhancing Adaptive Capacity of Communities to Climate Change-related Floods in the North Coast and Island Region of PNG	Activity Result 1: Reduced exposure and increased adaptive capacity of coastal communities to flood-related risks and hazards in 8 communities and 3 cities of the 11 provinces of the North Coast and Islands Region.	X	X	X	X		UNDP/AF/CCDA/AusAID			
Baseline: The forecasting, monitoring and dissemination of extreme weather events is severely limited due lack of equipment, capacities, procedures and systems.	Activity 1.1: Coastal early warning system established for observation, data collection and information management and dissemination in the provinces of the North Coast and Islands Region.						62040	62300	Payroll costs, Non-recurrent Payroll cost (IP staff)	140,000.00
Indicator: Gaps identified at various levels that hinders the functioning of an effective EWS systems.							62040	71400	Payroll and non-recurrent payroll costs (National Staff)	68,746.00
Target: Assessment of the EWS completed							62040	71600	Travel	5,000.00
EWS equipment procured and installed							62040	72100	Contractual Services	230,961.00
Pilot flood EWS system implemented for Bumbu							30000	71200	Contractual Services	166,300.00
							62040	72600	Grant Agreement	99,000.00
							62040	75700	Training, Workshops, Conference	5,000.00
								Sub-total	715,007.00	

	Actions: Finalization of the EWS assessment Procurement and installation of EWS equipment for pilot flood EWS. Establishment of protocols for forecasting, monitoring, and dissemination of warnings Trainings pertaining to different aspects of EWS	X X X X X	X X X X X	X X X X	X X X X	X X X X X	X X X X X	X X X X X	X X X X X	X X X X X	X X X X X	X X X X X	X X X X X	X X X X X	X X X X X	X X X X X	X X X X X
Baseline: Provinces are not adequately prepared to respond to disasters owing to lack of planning and coordination.	Activity 1.2: Coastal flood preparedness and response plan and systems established in the North Coast and Islands Region.	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X
Indicator: Number of provinces with comprehensive disaster preparedness and response plans for coastal flooding in place.	Target: Climate Risk Assessment completed and assessment reports and recommendations disseminated nationally and in five provinces.	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X
	Actions: Finalization of the Climate Risk Assessment	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X
	Target: Climate Risk Assessment completed and assessment reports and recommendations disseminated nationally and in five provinces.	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X

<p>Baseline: Community-based mangrove projects are undertaken ad-hoc and largely without sufficient expertise and support.</p>	<p>Activity 1.3: Support system for community-led mangrove reforestation and conservation projects.</p>	<p>X</p>	<p>X</p>	<p>X</p>	<p>X</p>	<p>UNDP, CCDA, East Sepik and New Ireland Provinces.</p>				
<p>Indicator: Number of community-led mangrove projects benefiting from support system for mangrove projects.</p>	<p>Actions: Training, advocacy and awareness raising activities on mangrove conservation/ planting in Madang and New Ireland Province.</p>	<p>X</p>	<p>X</p>	<p>X</p>	<p>X</p>					
<p>Target: 40 hectares of replanted mangrove in 15 communities</p>	<p>Development of community and provincial mangrove management plans in Madang and New Ireland</p>	<p>X</p>	<p>X</p>	<p>X</p>	<p>X</p>					
<p>Number of people trained in mangrove conservation and planting</p> <p>2 mangrove awareness products developed, printed and disseminated</p>	<p>Mangrove reforestation/ conservation in selected sites through grant agreements with CBOs/NGOs.</p>	<p>X</p>	<p>X</p>	<p>X</p>	<p>X</p>					
<p>Baseline: Communities are highly prone to climate risks due to lack of risk assessment and risk reduction knowledge.</p>	<p>Activity 1.4: Integrated coastal adaptation measures implemented to protect 8 communities in East Sepik Province, Northern Province and New Ireland Province.</p>	<p>X</p>	<p>X</p>	<p>X</p>	<p>X</p>	<p>UNDP, CCDA, East Sepik Province, and New Ireland Province., National disaster centre.</p>				

<p>Indicators: Number of risk-exposed communities protected through coastal adaptation measures.</p> <p>Targets:</p> <p>Community level risk assessments are completed for 8 communities and the required adaptation measures are identified and implemented.</p>	<p>Actions:</p> <p>Continuation of assessment of community level vulnerabilities and risks to coastal flooding through participatory methodology</p> <p>Continuation of development of community based integrated coastal protection plans and disaster preparedness and response plans</p> <p>Continue implementation of integrated in selected communities</p>	<p>X</p> <p>X</p> <p>X</p>	<p>X</p> <p>X</p> <p>X</p>	<p>X</p> <p>X</p> <p>X</p>	<p>X</p> <p>X</p> <p>X</p>	<p>X</p> <p>X</p> <p>X</p>	<p>X</p> <p>X</p> <p>X</p>	<p>X</p> <p>X</p> <p>X</p>	<p>X</p> <p>X</p> <p>X</p>
<p>Baseline: The forecasting, monitoring and dissemination of extreme weather events is severely limited due lack of equipment, capacities, processes and systems.</p> <p>Indicator: Gaps identified at various levels that hinders the functioning of an effective EWS systems.</p> <p>Target:</p> <p>Assessment of the EWS completed</p> <p>EWS dissemination workshop held at the national level</p> <p>EWS equipment procured and installed</p>	<p>Activity</p> <p>Result 2: Reduced exposure and increased adaptive capacity of targeted 8 river communities of the 4 provinces.</p> <p>Activity 2.1: Inland flooding early warning systems established for observation, data collection and management information and dissemination in the North Coast provinces.</p>	<p>X</p> <p>X</p>	<p>X</p> <p>X</p>	<p>X</p> <p>X</p>	<p>X</p> <p>X</p>	<p>X</p> <p>X</p>	<p>X</p> <p>X</p>	<p>X</p> <p>X</p>	<p>X</p> <p>X</p>
					<p>UNDP, CCDA, NWS, CEPA, NDC & Provinces</p>	<p>62040</p> <p>62040</p> <p>62040</p> <p>62040</p> <p>30000</p> <p>62040</p>	<p>62300</p> <p>71600</p> <p>71400</p> <p>72100</p> <p>72100</p> <p>75700</p>	<p>Payroll costs, Non-recurrent Payroll cost (IP staff)</p> <p>Travel</p> <p>Payroll Service Contract</p> <p>Contractual Services</p> <p>Contractual Services</p> <p>Training, Workshops, Conference</p> <p>Sub-total</p>	<p>140,000.00</p> <p>5,000.00</p> <p>60,000.00</p> <p>230,882.00</p> <p>166,300.00</p> <p>5,000.00</p> <p>607,182.00</p>

Phase I of the EWS initiated	Procurement and installation of EWS equipment Establishment of protocols for forecasting, monitoring, and dissemination of warnings Trainings pertaining to different aspects of EWS	X	X	X	X	X	X	X	X	
<p>Baseline: The provincial and national-level disaster management frameworks are evidently inadequate.</p> <p>Indicator: Number of provinces with comprehensive disaster preparedness and response plan for inland flooding.</p> <p>Target: Finalization of climate risk assessment</p>	<p>Activity 2.2: Inland flood preparedness and response plan and systems established in the North Coast provinces.</p> <p>Activity Action: Finalization of the Climate Risk Assessment National and Provincial level dissemination workshops</p>	X	X	X	X	X	X	X	X	UNDP, CCDA, NDC & provinces

<p>Baseline: The vast majority of communities exposed to inland flooding risk is inadequately equipped with resources, capacity and support to adapt to the changed scenario.</p>	<p>Activity 2.3: Integrated riverbank protection measures implemented to protect communities in East Sepik Province, Oro Province and Morobe and Madang Provinces.</p>	X	X	X	X	UNDP, CCDA, Northern Province, Morobe Province and Madang Province				
<p>Indicator: Number of communities benefiting from improved protection from inland flooding.</p>	<p>Continuation of assessment of community level vulnerabilities and risks to riverine flooding through participatory methodology</p>	X	X	X	X					
<p>Target: Community level risk assessments are completed for eight communities and the required adaptation measures are identified and implemented.</p>	<p>Continuation of development of community based inland flood protection plans and disaster preparedness and response plans</p>	X	X	X	X					
<p>Baseline: There is lack of capacities for climate sensitive planning at the provincial level and the provincial planning processes do not consider climate risks.</p>	<p>Activity Result 3: Strengthened institutional capacity at national and sub-national level to integrate climate change-related risks into sectoral policies and management practices with focus on flooding</p>				X		UNDP/AF/CCDA/AUSAID			
<p>Indicator: Number of provincial and national-level officers trained in climate adaptation planning and implementation.</p>										
<p>Target: Provincial Adaptation Plans developed</p>	<p>Provincial Adaptation Plans developed</p> <p>Capacity building for integrating Climate Change</p>		X	X	X				International Consultant International Consultant Travel Travel Materials & supplies Micro Grant	65,810.00 80,000.00 29,375.00 10,000.00 1,000.00 75,000.00 45,000.00
									Training, Workshops, Conferences	

related risks in to key provincial plans.										03000	75700	Training, Workshops, Conferences	10,000.00									
												Sub-total	316,185.00									
Activity 3.2: Policy makers and planners at the national, provincial and district offices, institutions and extension services systematically trained to implement climate-sensitive policies and plans.	X	X	X	X	X	X	X	X				UNDP, CCDCA, Pilot provinces.										
														Action: Implementation of the capacity assessment recommendations	X	X	X	X				
Activity Result 4: Strengthened awareness and ownership of adaptation and climate change-related risk reduction processes at national and sub-national level.	X	X	X	X																		
Baseline: Awareness raising efforts to date remain ad-hoc, uncoordinated and often undertaken with insufficient technical basis. Indicator: % of the risk-affected population exposed to awareness raising activities and number of awareness products developed.												International Consultant	10,625.00									
												Travel	5,000.00									
												Materials & Supplies	59,665.00									
												Training, Workshops, Conferences	5,000.00									
												Sub-total	80,290.00									

Target: 10 posters/pamphlets produces 30 community stories collected 10-15 community stories selected, edited and produces School CCA curriculum developed., 2000 curriculum printed and delivered to provincial education division A function website on CCA established	Actions: Collate existing information and awareness materials on climate change to design and produce new materials	X	X	X	X											
	Capture and produce stories on CCA issues through site visits	X	X	X	X											
	Development of websites to act as a hub and key repository for information on CCA	X	X	X	X											
	Support provincial level awareness campaigns and coordination	X														
	Activity 4.2: Climate change awareness and education programmes carried out to build next generations' resilience to climate change.	X	X	X						UNDP, CCDA & Department of Education.						
	Actions: Development of CCA curriculum for schools in five provinces Development of materials and documents for various trainings Explore CSR contributions for CCA.	X	X	X	X	X	X									
Project Management and Coordination Unit	Activity Result 5: Project management and coordination established	X	X	X	X											
	Project Management Unit	X	X	X	X					UNDP	UNDP/AF/ AusAID					
	Final Project Evaluation Payment for common services and cost recovery for services												71200	Individual Consultant (International)	30,000	
													62040	73100	Common Services	30,000.00

2017 BUDGET

Project title & ID:	Enhancing Adaptive Capacity of Communities to Climate Change-related Floods in the North Coast and Islands Region of PNG (00074956)
Project duration:	October 2012 - October 2017
Funded by:	Adaptation Fund, UNDP and AusAid
Total project budget 2016, USD:	1,728,664.00
Adaptation Fund	1,346,064.00
DAFT	432,600.00
UNDP contribution:	50,000.00
Implemented by:	Climate Change and Development-Authority - 001429

Award ID: 00059799
Modality: NIM

Justification: The 2017 project budget includes funding support from DFAT, UNDP and Adaptation Fund. The available cash is from UNDP (US\$50,000), AF (US\$1,346,064.00) and DFAT (US\$432,600.00) which have been entered in ATLAS.

Budget account	Budget line description	Sub-recipient (short description)	IA Code	Fund code	Donor code	2012-2017		Budget 2017 (USD)	Budget leftovers for the future years (USD)
						Total budget amount (USD)	Prior years expenditures (USD)		
ACTIVITY 1: Coastal Flooding									
62300	Payroll costs, Non recurrent Payroll cost (IP staff)		001429	62040	11602	397,001.00	257,001.00	140,000.00	0.00
62300	Payroll costs, Non recurrent Payroll cost (IP staff)		001429	04000	00012	26,000.00	26,000.00	0.00	0.00
71200	International Consultant		001429	62040	11602	250,000.00	250,000.00	0.00	0.00
71400	Payroll Service Contract		001429	62040	11602	103,229.00	34,483.00	68,746.00	0.00
71600	Travel		001429	62040	11602	120,000.00	115,000.00	5,000.00	0.00
72100	Contractual Services-Companies		001429	62040	11602	932,600.00	701,639.00	230,961.00	0.00
72100	Contractual Services-Companies		001429	30000	11344	166,343.00	43.00	166,300.00	0.00
72100	Contractual Services-Companies		001429	04000	00012	70,000.00	70,000.00	0.00	0.00
72200	Equipment and Furniture		001429	62040	11602	10,000.00	10,000.00	0.00	0.00
72300	Materials and Supplies		001429	62040	11602	12,000.00	12,000.00	0.00	0.00
72600	Micro Capital Grant		001429	62040	11602	407,500.00	308,500.00	99,000.00	0.00
75700	Training, workshops and Conference		001429	62040	11602	120,000.00	115,000.00	5,000.00	0.00
	Sub-total:					2,614,673.00	1,899,666.00	715,007.00	0.00
ACTIVITY 2: Inland Flooding									
62300	Payroll costs, Non recurrent Payroll cost (IP staff)		001429	62040	11602	396,948.00	256,948.00	140,000.00	0.00
62300	Payroll costs, Non recurrent Payroll cost (IP staff)		001429	04000	00012	26,000.00	26,000.00	0.00	0.00
71200	International Consultant		001429	62040	11602	70,000.00	70,000.00	0.00	0.00
71400	Payroll Service Contract		001429	62040	11602	60,000.00	0.00	60,000.00	0.00
71600	Travel		001429	62040	11602	120,000.00	115,000.00	5,000.00	0.00
72100	Contractual Services-Companies		001429	62040	11602	977,700.00	746,818.00	230,882.00	0.00
72100	Contractual Services-Companies		001429	30000	11344	166,343.00	0.00	166,300.00	0.00
72100	Contractual Services-Companies		001429	04000	00012	70,000.00	70,000.00	0.00	0.00

Prepared by: Ntagai Date: 17/05/17
Joycelyn Nagai-Muriki
Project Associate - Adaptation Fund

Cleared by: Rabinarayan Gaudo Date: 17-05-17
Rabi Narayan Gaudo
Project Manager - Adaptation Fund

Approved by: AMAR Date: 17-05-17
Gwen Maru
Programme Analyst - Environment & Energy

Approved by: [Signature] Date: 12/05/2017
Steven Palissat
ARR Operation



Annual Work Plan

Papua New Guinea - Port Moresby

Project: 00059799

Report Date: 5/5/2017

Project Title: PNG AF 4552: Climate Resilient Communities in PNG

Year: 2017

Output	Key Activities	Timeframe		Responsible Party	Planned Budget				
		Start	End		Fund	Donor	Budget Descr	Amount US\$	
00074956 PNG AF 4552: Climate Resilience	CC Coastal Flooding	1/1/2012	31/12/2016	PNG-National Execution	30000	PNG_One_UN	72100	Contractual Services-Companies	166,300.00
				PNG-National Execution	62040	AF	72100	Contractual Services-Companies	230,961.00
				PNG-National Execution	62040	AF	71400	Contractual Services - Individ	68,746.00
				PNG-National Execution	62040	AF	75700	Training, Workshops and Confer	5,000.00
				PNG-National Execution	62040	AF	61300	Salary & Post Adj Cst-IP Staff	0.00
				PNG-National Execution	62040	AF	62300	Recurrent Payroll Costs-IP Stf	140,000.00
				PNG-National Execution	62040	AF	73100	Rental & Maintenance-Premises	0.00
				PNG-National Execution	62040	AF	71600	Travel	5,000.00
				PNG-National Execution	62040	AF	72600	Grants	99,000.00
				PNG-National Execution	62040	AF	75700	Training, Workshops and Confer	5,000.00
				PNG-National Execution	62040	AF	71600	Travel	5,000.00
				PNG-National Execution	62040	AF	72100	Contractual Services-Companies	230,882.00
				PNG-National Execution	62040	AF	62300	Recurrent Payroll Costs-IP Stf	140,000.00
				PNG-National Execution	30000	PNG_One_UN	72100	Contractual Services-Companies	166,300.00
				PNG-National Execution	62040	AF	71400	Contractual Services - Individ	60,000.00
CCA Institutional and Policy	CCA Institutional and Policy	1/1/2012	31/12/2016	PNG-National Execution	30000	PNG_One_UN	71200	International Consultants	80,000.00
				PNG-National Execution	62040	AF	75700	Training, Workshops and Confer	45,000.00
				PNG-National Execution	62040	AF	71600	Travel	29,375.00
				PNG-National Execution	62040	AF	72600	Grants	75,000.00
				PNG-National Execution	62040	AF	72300	Materials & Goods	1,000.00
				PNG-National Execution	62040	AF	71200	International Consultants	65,810.00
				PNG-National Execution	30000	PNG_One_UN	71600	Travel	10,000.00
				PNG-National Execution	30000	PNG_One_UN	75700	Training, Workshops and Confer	10,000.00
				PNG-National Execution	62040	AF	75700	Training, Workshops and Confer	5,000.00
				PNG-National Execution	62040	AF	71600	Travel	5,000.00
				PNG-National Execution	62040	AF	71200	International Consultants	10,625.00
				PNG-National Execution	62040	AF	72300	Materials & Goods	59,665.00



Annual Work Plan

Papua New Guinea- Port Moresby

Project: 00059799

Report Date: 5/5/2017

Project Title: PNG AF 4552: Climate Resilient Communities in PNG

Year: 2017

Output	Key Activities	Timeframe		Responsible Party	Planned Budget				
		Start	End		Fund	Donor	Budget Descr	Amount US\$	
	Project Management	1/1/2012	31/12/2016	PNG-National Execution	04000	UNDP	73100	Rental & Maintenance-Premises	50,000.00
				PNG-National Execution	62040	AF	75100	Facilities & Administration	10,000.00
				PNG-National Execution	62040	AF	73100	Rental & Maintenance-Premises	20,000.00
				PNG-National Execution	62040	AF	71200	International Consultants	30,000.00
TOTAL									1,828,664.00
GRAND TOTAL									1,828,664.00